Commodity Futures Trading Commission (CFTC)

The Commodity Futures Trading Commission's Whistleblower Program creates a procedure for whistleblowers with knowledge of possible violations of the Commodity Exchange Act to confidentially share their information with the CFTC.

The CFTC's Whistleblower Program incentivizes whistleblowers to come forward. If the government collects money from an individual or entity, the whistleblower who came forward is entitled to an award of between 10 percent and 30 percent of the total amount recovered.

The CFTC Whistleblower Program was created by Congress as a part of the Dodd-Frank Wall Street Reform and Consumer Protection Act. The program is administered by the CFTC Whistleblower Office.

Some examples of common violations of the Commodity Exchange Act include:

- Market manipulation (spoofing, wash trading, cornering, front running, pump and dump, benchmark manipulation, pinging, etc.);
- Insider trading;
- Misappropriation of funds intended for options, futures, swaps, or other commodities assets through schemes like Ponzi or pyramid schemes;
- Foreign Corrupt Practices Act (FCPA) violations related to commodities trading, including bribery of foreign officials or failure to maintain accurate books and records and sufficient internal controls;
- Failure to register or maintain accurate books, records, and communications;
- Sale or promotion of unregistered commodities options, futures, swaps, or other commodities assets (including unregistered cryptocurrencies, non-fungible tokens, or stablecoins that qualify as commodities); and
- Fraud, corruption, or failure to keep proper books and records by brokers, commodity pool operators, and more.

How do I start?

Call us at (212) 337-5361. Submit an inquiry on our website. Or email us at confidential@pollockcohen.com. We want to hear your story.